١	Assessment of the Antimicrobial Resistance Spectrum and Identification of Extended-
۲	Spectrum B-Lactamase (ESBL) in Acinetobacter Baumannii from Clinical Samples in
٣	Erbil City
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١٦	ABSTRACT
١٧	Acinetobacter baumannii (A. baumannii) is a dangerous opportunistic pathogen causing
١٨	various infections, particularly in healthcare settings, affecting immunocompromised
۱۹	individuals. It is a significant source of nosocomial infections due to its ability to
۲.	produce extended-spectrum β -lactamases (ESBLs) and carbapenemase enzymes, which are a
۲۱	major concern for antibiotic resistance.
۲۲	To investigate the significant occurrence of ESBL-producing A. baumannii in hospitalized
۲۳	individuals, a total of 250 clinical specimens were obtained. Samples were collected
۲ ٤	aseptically from patients and cultured on blood agar and MacConkey agar media.
۲0	Identification of isolates was conducted using both conventional microbiological techniques
22	and the automated VITEK 2 system. Duplicate isolates and specimens from colonization-

prone sites, including throat and perianal areas, were excluded. Antimicrobial susceptibility
 and ESBL production were evaluated according to CLSI standards.

Out of 250 clinical specimens, 60 out of 250 (24%) had a culture-positive *A. baumannii*r. infection. Thirty out of sixty isolates (50%) had an *A. baumannii* infection that produced
ESBL. 86.67% of the isolated bacteria had multidrug resistance overall (52/60). Amikacin had

the greatest resistance rate among the 60 isolates (100%), whereas Tigecycline had the lowest
resistance rate among just 10 isolates (16.67%). At the same time, the resistance rate was
0.00%, and the antibiotic that worked best against *A. baumannii* was Colistin. It was
discovered that ESBL genes were correlated with antibiotic resistance, particularly with
cephalosporin medicines.

In conclusion, the present study highlights the prevalence of ESBL-producing *A. baumannii* strains, emphasizing the need for cautious antibiotic use and systematic monitoring of
 resistance mechanisms. The emergence of new ESBL strains necessitates continuous
 surveillance and further research on other ESBL-associated genes.

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Keywords: *Acinetobacter baumannii*, Antibiotic resistance, Extended-spectrum β-lactamase

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٤٥ **1. Introduction**

^{£7} The Gram-negative (G⁻) bacteria Acinetobacter baumannii (A. baumannii) is usually small, ^{£7} almost spherical, and rod-shaped (*coccobacillus*). It cause blood, urinary tract, and lung ^{£4} infections (pneumonia), as well as sores in other areas of the body. In patients with open ^{£9} wounds or respiratory secretions (sputum), it may also "colonize" or remain there without ^{e1} producing illnesses or symptoms (1).

This organism, recognized as an opportunistic pathogen predominantly impacts individuals
 with compromised immune responses. and is increasingly acknowledged as a significant
 nosocomial pathogen (2). Unlike bacteria equipped with flagella, Acinetobacter species
 exhibit alternative motility mechanisms, such as twitching and swarming, which are likely
 facilitated by type IV pili—elongated structures capable of extension and retraction (3).

٥٦ Infections caused by Acinetobacter baumannii are more frequently encountered in individuals ٥٧ who have prolonged hospital stays, compromised immune systems, advanced age, underlying ٥٨ medical conditions, severe trauma or burns, prior antibiotic usage, or those requiring invasive 09 procedures. Patients with indwelling medical devices such as catheters or mechanical ٦. ventilators are particularly at risk for such infections (4). Finding an exact death rate for ٦١ critically ill patients with A. baumannii infections is hard because their prognosis is already ٦٢ not good (5). However, rough death rates have ranged from 23% to 68%. Currently, The ٦٣ cause of variations in clinical presentation between community-acquired and hospital-٦٤ acquired infections, whether attributable to host or bacterial variables, remains unclear (6).

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٦0 Hospitalized patients, especially those with prolonged hospital stays or those who have ٦٦ received broad-spectrum antibiotics or anticancer medications, are increasingly experiencing ٦٧ A. baumannii colonization. Spreading genes that are not sensitive to antibiotics has become a ٦٨ big problem in treating A. baumannii infections, leading to multiple drug resistance (MDR). ٦٩ Previous investigations have consistently demonstrated that A. baumannii displays resistance ٧. to a broad spectrum of antibiotics, encompassing fluoroquinolones, cephalosporins, ۷١ carbapenems, tetracyclines, and aminoglycosides. The mechanisms of resistance entail both ۲۷ intrinsic and acquired approaches, including enzymatic inactivation, genetic mutations at the ۷۳ target sites, modifications in outer membrane permeability, and the excessive expression of ٧٤ efflux pumps. Notably, efflux pump systems contribute to multidrug resistance (MDR) by enabling bacteria to expel antibiotics effectively (7). ٧٥

٧٦ This hospital-acquired disease is mostly resistant to antibiotics because of β -lactamases, ٧٧ changes to membrane porin channels, and mutations that change how cells work. The main way that bacteria become resistant is by making hydrolytic enzymes that attack antibiotics, ٧٨ ٧٩ especially extended-spectrum β -lactamases (ESBLs) (8). The predominant mechanism ٨٠ underpinning the resistance of A. baumannii to β-lactam antibiotics and various other antimicrobial agents in recent years has been elucidated as the production of Extended-۸١ Spectrum Beta-Lactamases (ESBLs); These enzymes are responsible for conferring resistance ۸۲ ٨٣ to a broad range of antibiotics, including monobactams, cephalosporins, and penicillins. ٨٤ Hospitals worldwide are increasingly seeing MDR patterns brought on by pathogenic Λ٥ bacteria's development of extended-spectrum beta-lactamases. Because it results in treatment ٨٦ failures, longer hospital stays, and increased mortality rates, this is a public health issue (9).

In excess of 300 unique extended-spectrum β-lactamase (ESBL) variants have been delineated within Gram-negative bacterial populations, with blaTEM and blaSHV emerging as the predominant genetic determinants on a global scale. In recent years, there has been a notable augmentation in the prevalence of the blaCTX-M gene family among clinical isolates. This gene family encompasses more than 130 β-lactamase variants, which are systematically classified into five distinct categories: blaCTX-M-1, blaCTX-M-2, blaCTX-M-8, blaCTX-M-9, and blaCTX-M-25 (10).

Recent trends in adjacent locations indicate a comparable rise in antibiotic resistance, especially for ESBL-producing bacteria. Researchers in Saudi Arabia have found that *blaCTX-M*, *blaSHV*, and *blaTEM* genes are commonly found in clinical isolates. This shows a pattern of resistance in the region that is a public health concern for everyone (11). Furthermore, a research conducted in Iran demonstrates a heightened incidence of ESBL encoding genes in *A. baumannii* isolates (12). In order to develop evidence-based strategies to
 address antibiotic resistance in clinical settings, it is essential to characterize the resistance
 genes encoding ESBL-producing *A. baumannii* (2, 11).

Objectives

This study aims to investigate the antimicrobial resistance patterns of clinical strains and
 evaluate the prevalence of blaSHV, blaTEM, and blaCTX genes in A. baumannii isolates
 collected from various hospitals in Erbil city. The study underscores the critical role of
 ESBLs in antibiotic resistance and their implications for treatment failures.

1.V 2. Materials and methods

2.1. Collection and identification of isolates

Patients were selected based on clinical indications of infection, including symptoms such as fever, inflammation, and purulent discharge, as well as laboratory findings suggestive of bacterial infection. Samples were collected from patients across different departments, including intensive care units, surgical wards, and outpatient clinics, to capture a broad spectrum of A. baumannii infections.

From March 20, 2024, to June 19, 2024, a total of 250 infected samples were obtained from 112 110 various clinical specimens: sputum (n=87), wound swab (n=64), stool (n=51), and burn ١١٦ (n=48). The samples were moved right away to the lab in an ice-pack-equipped cooler. 117 Clinical samples were cultured on Blood and MacConkey agar (Merck, Germany) and incubated aerobically at 37°C for 24 hours. To isolate pure colonies, non-lactose fermenting 114 119 colonies on MacConkey agar, as well as non-hemolytic, creamy, and opaque colonies on 17. blood agar, underwent further sub-culturing on MacConkey agar with an additional incubation period of 24 hours under the same conditions. Identification of A. baumannii 171 ۱۲۲ isolates was performed through morphological examination of colonies and Gram staining ۱۲۳ results. Verification of A. baumannii was achieved using the VITEK 2 system and standard 172 biochemical tests, including oxidase, catalase, citrate utilization, urease activity, and indole 170 production.

Further molecular confirmation was carried out using polymerase chain reaction (PCR) targeting the 16S rRNA gene. Amplification utilized the Alpha PCRmax system (UK) with specific primers (Forward: CACCTTCCGATACGGCTACC; Reverse: GTTGACTGCCGGTGACAAAC). The PCR mixture consisted of 12.5 µL of master mix 1^r (AMPLIQON, Denmark), 1.0 µL of each primer, 1.5 µL of genomic DNA, and PCR-grade water to achieve a final volume of 25 µL. Amplification conditions included 40 cycles of denaturation at 95°C for 30 seconds, annealing at 59°C for 45 seconds, and extension at 72°C for 60 seconds, with a final elongation at 72°C for 10 minutes. The resulting amplicons were analyzed on a 1.2% agarose gel, revealing an expected size of 372 base pairs for the amplified 16S rRNA gene (13).

ידי 2.2. Antimicrobial susceptibility screening

In accordance with the guidelines established by the CLSI (14), the assessment of ۱۳۷ antimicrobial susceptibility was conducted employing the disk diffusion methodology. The ۱۳۸ ۱۳۹ antibiotic susceptibility tests involved a panel of antibiotics, including Amikacin (AK, 30 µg), Cefepime (CFP, 30 µg), Ceftazidime (CAZ, 30 µg), Ciprofloxacin (CIP, 5 µg), Colistin (CST, ١٤. 151 5 μg), Gentamicin (G, 10 μg), Imipenem (IMP, 30 μg), Levofloxacin (LEV, 5 μg), Meropenem (MEM, 10 µg), Netilmicin (NET, 30 µg), Piperacillin (PIP, 30 µg), Tigecycline 157 (TGC, 30 µg), and Tobramycin (TOB, 10 µg), procured from Bioanalyse, Turkey. Using a 153 sterile brush, 100 µL of inoculum (1.5*10^8 CFU/mL) was evenly distributed across the 122 whole surface of a Mueller Hinton Agar (Himedia, India) plate in line with the 0.5 McFarland 120 127 standard to create a lawn of A. baumannii. Within fifteen minutes of inoculation, the disks were firmly positioned on the surface of the agar plate (15). ١٤٧

15A 2.3. Extraction of genomic DNA

Genomic DNA extraction was performed using the BETA BAYRN Genomic DNA Extraction Kit (BETA BAYRN, Germany) in accordance with the manufacturer's guidelines. DNA was eluted with 50 µL of elution buffer and stored at -20°C for subsequent PCR analysis. DNA concentration and purity were assessed using a NanoDrop 1000 spectrophotometer (ThermoFisher Scientific, USA).

2.4. Identification of ESBL-related genes through PCR analysis

Conventional multiplex PCR was employed to examine the molecular profile of genes associated with extended-spectrum β -lactamases (blaSHV, blaTEM, and blaCTX) in A. baumannii strains that produce ESBLs. Information regarding the primer sequences and their respective functions can be found in Figure 1 (15).

Target genes	Primer	Sequence (5'-3')	Amplicon size
bla _{TEM}	Forward	TCG CCG CAT ACA CTA TTC TCA GAA TGA	445-bp
	Reverse	ACG CTC ACC GGC TCC AGA TTT AT	-
bla _{SHV}	Forward	ATG CGT TATATT CGC CTG TG	747-bp
	Reverse	TGC TTT GTT ATT CGG GCC AA	
	Forward	ATG TGC AGY ACC AGT AAR GTK ATG GC	
bla _{CTX}	Reverse	TGG GTR AAR TAR GTS ACC AGA AYC AGC GG	593-bp
	Figur	e 1. List of primers used for Multiplex PCR amplificatio	n.

For detecting ESBL genes, PCR reactions were set up in a 25 μ L reaction volume containing 7 μ L of PCR-grade water, 10 μ L of master mix, 1 μ L of primer mix, and 2 μ L of genomic DNA. The cycling parameters included an initial denaturation at 95°C for 10 minutes, followed by 40 cycles of denaturation (95°C for 30 seconds), annealing (60°C for 90 seconds), and extension (72°C for 120 seconds), with a final extension step at 72°C for 10 minutes. PCR amplicons were separated by electrophoresis on a 1.2% agarose gel containing Red Safe dye and visualized under UV light.

- 177 2.5. Data analysis
- GraphPad Prism (V. 9.3) software was employed for statistical analysis. Qualitative data were
 evaluated using the chi-square test. A p-value below 0.05 was considered statistically
 significant for all analyses.

2.6. Ethical Considerations

The research received ethical clearance from the Ethics Committee of Salahaddin University-Erbil, with the permission number IR.BMSU.REC.1399.296. The study adhered to the ethical guidelines outlined by the committee, ensuring that all patient data were anonymized and handled confidentially. Informed permission was acquired from all participants or their legal guardians prior to sample collection. The current study was executed in compliance with the Declaration of Helsinki and other local rules, emphasizing the reduction of possible hazards to participants while enhancing the scientific merit of the research.

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3. Results and Discussion

14) **3.1. Detection of A. baumannii isolates**

۱۸۲ The study analyzed 250 clinical samples comprising sputum (n=87), wound swabs (n=64), stool samples (n=51), and burn samples (n=48). Out of these, 67 isolates (26.8%) were ۱۸۳ ۱۸٤ identified as A. baumannii using biochemical tests and the VITEK 2 system with GN cards. 110 The isolates, collected at the Biotechnology Laboratory, Salahaddin University-Erbil, Iraq, ۱۸٦ were characterized as Gram-negative coccobacilli. They exhibited oxidase-negative, catalase-۱۸۷ positive, urease-negative, citrate-negative, and indole-negative profiles. Verification of the 67 ۱۸۸ isolates through PCR confirmed the presence of the 16S rRNA gene (Figure 2). Among the ۱۸۹ isolates, 25 (37.31%) were from females, and 42 (62.69%) were from males, with a mean age

19. of 51.43 ± 0.8 years.



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- Figure 2. 16S rRNA gene amplification via agarose gel electrophoresis. Lanes 1–12 show a197positive amplicon for the 16S rRNA gene at 372 bps, lane M is a 50 bps DNA ladder, and192lane NC is a negative control.
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3.2. Detection of ESBL genes

Among the 67 A. baumannii isolates examined, 53 (83.58%) contained the blaSHV gene, while 34 (50.74%) harbored the blaCTX gene. The blaTEM gene was found to be the most common, present in all analyzed isolates, as illustrated in Figure (3).

M NC 1 2	3 4 5 6 7 8 9 10 11 1	2000	
360 T47 b 709 T47 b 601 593 b 500 445 hps			
200	Ale TEN 445 bps Add TX 595 bps aleSHV 747 bps		
		-	

Figure 3. Multiplex PCR of ESBL genes (*blaCTX, blaTEM, and blaSHV*) using agarose gel
 electrophoresis. Lane M: 100 bps DNA ladder, lane NC: negative control, lanes 1–12 with
 445 bps *blaTEM* gene, lanes 3–12 with 593 bps *blaCTX* gene, and lanes 3, 4, 6, 7, 8, and 9
 with 747 bps *blaSHV* gene.

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۲.0 The results revealed a significant proportion of A. baumannii isolates producing ESBLs. Studies from Iran have reported alarming levels of drug and multidrug resistance in A. ۲.٦ ۲.۷ baumannii, including resistance to highly effective antibiotics such as IMP and MEM (16). Ting et al. identified resistance genes-including blaTEM, blaSHV, blaCTX, blaDHA, ۲۰۸ ۲.٩ blaCIT, blaIMP, blaVIM, blaKPC, and blaOXA-23-in seven IMP-resistant A. baumannii ۲١. strains. The isolates exhibited the presence of *blaTEM* (100%) and *blaOXA-23* (100%) genes. 117 However, the other genes, including *blaSHV*, *blaCTX*, *blaDHA*, *blaCIT*, *blaIMP*, *blaVIM*, and 717 blaKPC, were undetectable in seven strains of IMP-resistant A. baumannii. In the current ۲۱۳ investigation, similar with previous findings, many genes were identified, including *blaSHV* 212 (58%), *blaTEM* (20%), and *blaVIM* (30%). (17). Shahcheraghi et al. conducted a research in 110 Tehran, Iran, demonstrating that the MBL expressing genes identified among 203 A. 212 baumannii isolates were blaVIM-2, blaSPM-1, blaIMP-2, blaGES-1, blaOXA-51, and ۲۱۷ blaOXA-23. Six isolates were discovered to generate MBLs, whereas 94 isolates were found ۲۱۸ to produce OXA-type carbapenemases. Their research suggests that the prevalence of MBL-219 producing A. baumannii strains in Tehran is lower than what was observed in the present ۲۲. study from Hamadan City. They detected blaSPM-1, blaGES-1, blaOXA-51, and blaOXA-23 177 genes in 6, 2, 94, and 84 bacterial isolates, respectively (18). A previous investigation by 777 Rezaee et al. identified genes encoding for 76 Acinetobacter species, including blaIMP,

blaSPM-1, blaVIM, blaPER-1, blaVEB-1, blaTEM, blaSHV, blaGES-1, and blaCTX-M.
Moreover, they noted that 13.15% of their analyzed isolates carried the blaTEM-1 gene,
which is similar to the 20% found in the current study, and 37% of isolates harbored at least
one of the blaPER-1 or blaTEM-1 genes. Furthermore, none of the A. baumannii isolates they
examined contained blaVEB-1, blaSHV, blaCTX-M2, or blaGES-1 (19).

3.3. Antimicrobial resistance

All isolates shown total resistance to AK. CST had the greatest effectiveness against A. baumannii isolates, with all isolates showing sensitivity to CST. Sixty-one isolates (91.04%) exhibited resistance to CFP, CIP, IMP, and LEV, marking the greatest resistance rate after that of the AK antibiotic (Figure 4).

67.00	100.00	0	0	0	0	
61.00	91.04	0	0	6.00	8.96	
56.00	83.58	0	0	11.00	16.42	
61.00	91.04	0	0	6.00	8.96	
01.00	0	0	0	67.00	100.00	
						= >=
51.00	76.12	8.00	11.94	8.00	11.94	
61.00	91.04	0	0	6.00	8.96	
61.00	91.04	0	0	6.00	8.96	2 12
56.00	83.58	0	0	11.00	16.42	
51.00	76.12	5.00	7.46	11.00	16.42	
56.00	83.58	0	0	11.00	16.42	
12.00	17.91	5.00	7.46	50.00	74.63	
55.00	82.09	6.00	8.96	6.00	8.96	

۲۳۳ ۲۳٤ 780 787 **Figure 4.** The heat map elucidates the levels of sensitivity (resistant, intermediate, and sensitive) of A. baumannii to several tested antimicrobial drugs.

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۲۳۸ Acinetobacter baumannii is a bacterial pathogen known for causing nosocomial infections, primarily due to its high level of drug resistance (20, 21). Its ability to adhere to a variety of ۲۳۹ ۲٤. surfaces and medical devices significantly enhances its potential for colonization and 251 transmission among hospitalized individuals (22). This bacterium can withstand a wide range ٢٤٢ of existing drugs by acquiring resistance factors and enhancing innate resistance mechanisms ٢٤٣ (23). A. baumannii exhibiting multidrug resistance causes severe infections and high fatality 755 rates, especially in immunocompromised individuals (24, 25). According to our investigation, 250 every isolate of A. baumannii showed resistance to a number of antibiotics. All exhibited 252 sensitivity to CST and resistance to AK, aligning with results from previous Iranian ۲٤٧ investigations (26, 27). Zarifi et al. found that A. baumannii had significant resistance to all ۲٤٨ antibiotics except CST. The resistance rates for IMP, MEM, CAZ, cefotaxime, cefuroxime, 7 2 9 ceftriaxone, CFP, ertapenem, and ampicillin/sulbactam were 97.9%, 98.1%, 96.4%, 97.9%, 10. 99.3%, 97.9%, 97.9%, 98.6%, and 97.1%, respectively. CST had the highest efficacy as an antibiotic against A. baumannii, with a susceptibility rate of 97.9%, whereas AK exhibited a 101 207 sensitivity of 27.1% (28).

Antibiotic resistance to monobactams, carbapenems, cephalosporins, and penicillin is 207 705 provided by class A beta-lactamases. These lactamases may have a restricted spectrum of activity or acquire an expanded range of antibiotic efficacy via point mutations. Beta-100 107 lactamase enzymes, particularly class A, contribute to resistance against antibiotics such as monobactams, cephalosporins, carbapenems, and penicillins. These enzymes are often 707 inhibited by agents like clavulanic acid (1). Additionally, the dissemination of ESBL genes ۲٥٨ 209 among Gram-negative bacteria is facilitated by mobile genetic elements, such as plasmids (2). ۲٦. Regular monitoring of bacterial strains that produce extended-spectrum β -lactamases 221 (ESBLs), coupled with the detection of associated genes such as blaTEM-92, blaSHV, 222 blaGES-11, blaGES-14, blaPER-1, blaPER-7, and blaVEB-1, is vital for effective clinical 222 management. Additionally, other significant enzymes in this group include the cefotaxime-225 expanding β-lactamase (CTX-M) family and the Klebsiella pneumoniae carbapenemase 220 (KPC) enzymes (3).

Therefore, resistance to third-generation cephalosporins is heightened, corresponding with the production of genotypic ESBLs. The epidemiological variety of ESBL-encoding genes in A. baumannii may indicate the continual emergence of novel ESBL strains. Upcoming research
highlights the investigation of other ESBL-encoded genes. This research highlighted the need
for more prudence in antibiotic use and the concerning rate of resistance.

171 As mentioned in the book, A. baumannii can acquire antibiotic resistance by altering the ۲۷۲ specific location where antibiotics are targeted, controlling the movement of medications ۲۷۳ across its cell membranes, and enzymatically changing antibiotics to render them ineffective. ۲۷٤ A. baumannii may augment antibiotic resistance not only via innate genetic pathways but also 200 via other virulence-associated mechanisms. Mechanisms contributing to the resistance of A. 272 baumannii include structural and functional adaptations, such as alterations in outer membrane proteins (e.g., porins) and components of the cell envelope (e.g., ۲۷۷ lipopolysaccharides and bacterial capsules). The development of resistance is further ۲۷۸ 229 enhanced by various specialized mechanisms, including the action of enzymes such as phospholipases C and D, glycan-specific adamalysin-like protease CpaA, as well as processes ۲٨۰ like quorum sensing and biofilm production. ۲۸۱

۲۸۲ In conclusion, this study underscores the significant prevalence of ESBL-producing A. *baumannii* in clinical samples from Erbil City, contributing to the high resistance rates against ۲۸۳ critical antibiotics. The presence of blaSHV, blaTEM, and blaCTX genes highlights the ۲۸٤ genetic basis for this resistance, with the blaTEM gene being most prevalent. The findings ۲۸٥ ۲۸٦ call for careful antibiotic stewardship and enhanced surveillance to track resistance patterns ۲۸۷ and emerging ESBL strains. Future research should explore additional ESBL-associated ۲۸۸ genes to develop comprehensive strategies for managing and mitigating antibiotic resistance ۲۸۹ in A. baumannii.

۲٩. One of the limitations of this study was the lack of control strains in the antimicrobial 291 susceptibility testing section. Additionally, the study's findings are specific to the clinical ۲۹۲ settings in Erbil City, which may limit their generalizability to other regions with different ۲۹۳ antimicrobial resistance patterns. Future research should aim to incorporate a broader range of 89£ control strains and expand the geographical scope to enhance the validity and applicability of 290 the results. Additionally, given the increasing challenge posed by antibiotic-resistant bacteria, 297 research into alternative treatment strategies, such as bacteriophage therapy, could be of ۲۹۷ significant interest.

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r.. Author s contributions

- r.) Conceptualization and design: S.S.Q, P.A.H
- **r.t**Data acquisition: S.S.Q, S.H.A, R.M.HDrafting: M.A.A; P.A.H
- $\label{eq:critical} \overset{\texttt{r}}{}\overset{\texttt{r}}{}\overset{\texttt{r}}{}\overset{\texttt{r}}{}$ Critical revision: S.S.Q; M.A.A; P.A.H

$r \cdot \epsilon$ Conflict of interest

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